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3 **UNITED STATES DISTRICT COURT**

4 EASTERN DISTRICT OF CALIFORNIA
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8 SHAWN SMALLWOOD,
9 Plaintiff,

Case No. 1:24-cv-01198-JLT CDB

10 v.

AMENDED ORDER re SETTLEMENT
CONFERENCE

11 SASD DEVELOPMENT GROUP LLC., ET
12 AL.
Defendants.
13 _____/

14 This case is now set for a Settlement Conference before Magistrate Judge Sheila K. Oberto
15 on **October 2, 2025, at 9:00 AM** at the U.S. District Court, 2500 Tulare Street, Fresno, California,
16 93721.

17 Consideration of settlement is a serious matter that requires thorough preparation prior to
18 the settlement conference. Accordingly, IT IS HEREBY ORDERED that:

19 **1. Pre-settlement Conference Exchange of Demand and Offer**
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21 A settlement conference is more likely to be productive if, before the conference, the parties
22 exchange written settlement proposals. Accordingly, **by no later than September 3, 2025**, counsel
23 for plaintiff shall submit an updated written itemization of damages and settlement demand to each
24 defense counsel with a brief summary of the legal and factual basis supporting the demand. **By no**
25 **later than September 10, 2025**, each defense counsel shall submit a written offer to counsel for
26 each plaintiff with a brief summary of the legal and factual basis supporting the offer.
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1 **2. Submission and Content of Confidential Settlement Conference Statements**

2 The parties are to send Confidential Settlement Conference Statements (Settlement
3 Statement) to the following email address: SKOorders@caed.uscourts.gov, to arrive **no later than**
4 **September 17, 2025**. Each statement shall be clearly marked “CONFIDENTIAL” with the date
5 and time of the mandatory settlement conference indicated prominently. Each party shall also file
6 a Notice of Submission of Confidential Settlement Conference Statement. (*See* L.R. 270 (d).)

7 If the Settlement Conference is continued for any reason, each party must submit a new
8 Settlement Statement that is complete in itself, without reference to any prior Settlement Statements.

9 Each Settlement Statement *shall include the following*:

- 10 a. A brief summary of the core facts, allegations, and defenses, a forthright
11 evaluation of the parties’ likelihood of prevailing on the claims and
12 defenses, and a description of the major issues in dispute.
- 13 b. A summary of the proceedings to date.
- 14 c. An estimate of the cost and time to be expended for further discovery,
15 pretrial, and trial.
- 16 d. The nature of the relief sought.
- 17 e. An outline of past settlement efforts including information regarding the
18 “Pre-settlement Conference Exchange of Demand and Offer” required
19 above—including the itemization of damages—and a history of past
20 settlement discussions, offers, and demands.
- 21 f. A statement of each party’s expectations and goals for the Settlement
22 Conference.

23 **3. Zoom Videoconferences**

24 The parties may jointly request that the settlement conference be conducted by Zoom
25 videoconference. If the Court determines that a Zoom videoconference would be productive and the
26 request for a Zoom videoconference is granted, the Court will provide call in information before the
27 settlement conference date.

4. Attendance of Trial Counsel and Parties Required

Unless otherwise permitted in advance by the Court, the attorneys who will try the case and parties with full and complete settlement authority shall personally attend the conference.¹ An insured party shall appear by a representative of the insurer who is authorized to negotiate, and who has *full authority to negotiate and settle the case*. An uninsured corporate party shall appear by a representative authorized to negotiate, and who has *full authority to negotiate and settle the case*. It is difficult for a party who is not present to appreciate the process and the reasons that may justify a change in one's perspective toward settlement. Accordingly, having a client with authority available by telephone is *not* an acceptable alternative, except under the most extenuating circumstances.²

The Court expects both the lawyers and the party representatives to be fully prepared to participate. The Court encourages all parties to keep an open mind in order to reassess their previous positions and to discover creative means for resolving the dispute.

5. Issues to Be Discussed

The parties *shall* be prepared to discuss the following at the settlement conference:

- a. Goals in the litigation and problems they would like to address in the settlement conference and understanding of the opposing side's goals.
- b. The issues (in and outside the lawsuit) that need to be resolved.
- c. The strengths and weaknesses of their case.
- d. Their understanding of the opposing side's view of the case.
- e. Their points of agreement and disagreement (factual and legal).
- f. Any financial, emotional, and/or legal impediments to settlement.
- g. Whether settlement or further litigation better enables the accomplishment of their respective goals.
- h. Any possibilities for a creative resolution of the dispute.

¹ Insurance carriers, business organizations, and governmental bodies or agencies whose settlement agreements are subject to approval by legislative bodies, executive committees, boards of directors or the like shall be represented by a person or persons who occupy high executive positions in the party organization and who will be directly involved in the process of approval of any settlement offers or agreements.

² Out of town or out of state travel and the purchase of an airplane ticket are not extenuating circumstances.

1 **6. Statements Inadmissible**

2 The parties are expected to address each other with courtesy and respect, and are
3 encouraged to be frank and open in their discussions. Statements made by any party during the
4 settlement conference are not to be used in discovery and will not be admissible at trial.

5 **7. Pre-Settlement Discussion**

6 **Prior to the Settlement Conference, Magistrate Judge Oberto will hold a brief,**
7 **telephonic discussion on September 24, 2025, at 4:00 PM** (dial-in number: 1-669-254-5252;
8 Meeting ID: 160 801 1115; passcode 449390). Only attorneys and unrepresented parties are
9 required to participate in the conference.

10 **8. Superseding of Order re Settlement Conference; Sanctions**

11 The deadlines and directives in this order **supersede the deadlines and directives set forth**
12 **in the “Order re Settlement Conference” (Doc. 31).** **The Court may impose sanctions on any**
13 **party or any party’s counsel that fails to comply with this order.**

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15 IT IS SO ORDERED.

16 Dated: **August 27, 2025**

17 **/s/ Sheila K. Oberto**
18 UNITED STATES MAGISTRATE JUDGE
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